



ATTORNEY DOCKET NO.: 18197/09011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of )  
LEWIS S. WAYBURN et al. ) Art Unit:  
 ) 3744  
Serial No.: 10/804,838 )  
 ) Examiner:  
 ) Unknown  
Filed: March 19, 2004 )  
 )  
Title: APPARATUS AND METHOD FOR )  
CONTROLLING THE TEMPERATURE OF )  
AN ELECTRONIC DEVICE )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. 1.56, 1.97, and 1.98, Applicant is submitting the art shown on the attached list for consideration by the Examiner.

**I. Timing of the Submission (check one)**

This Information Disclosure Statement is being submitted:

- ☐ Within three months of filing the application (Rule 97(b)(1)).
- ☐ Within three months of the international application entering the national stage (Rule 97(b)(2)).
- ☒ Before mailing of a first Office Action (Rule 97(b)(3)).
- ☐ Before mailing of a final action or notice of allowance, and accompanied by a fee (Rule 97(c)(2)).
- ☐ Before mailing of a final action or notice of allowance, and accompanied by a Rule 97(e) statement (Rule 97(c)(1)).

- ☐ After issuance of a Notice of Allowance but before payment of the issue fee, and accompanied by: (1) a Rule 97(e) statement, (2) a petition requesting consideration of the information disclosure statement, and (3) a fee (Rule 97(d)).

**II. Rule 97(e) Statement** *(check one if required by item checked above)*

- ☐ Each item contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

- ☐ No item contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application nor was any such item known by any individual associated with the filing or prosecution of the patent application more than three months prior to the filing of the Information Disclosure Statement.

**III. Copies of the References** *(check one or both as applicable)*

- ☒ Copies of 16 (insert "all" or number of enclosed references) listed references are enclosed.
- ☐ Copies of \_\_\_\_\_ (insert "all" or number of references not enclosed) listed references are not enclosed because they are not required pursuant to Rule 98(d). The listed references were previously cited by or submitted to the Office in application serial number \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon for an earlier filing date.

Pursuant to Rule 98(a)(3), the following is a concise explanation of the relevance of each patent, publication, or other information listed that is not in the English language:

Please charge any fees required for consideration of the  
art cited herein to our deposit account no. 50-1196.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Craig N. Killen", written over a horizontal line.

Craig N. Killen

Reg. No. 35,218

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<b>Form PTO-1449</b>		<b>Docket Number Optional</b> 18197/09011		<b>Application Number</b> 10/804,838								
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  (Use several sheets if necessary)		<b>Applicant</b> Lewis S. Wayburn et al.										
		<b>Filing Date</b> March 19, 2004		<b>Group Art Unit</b> 3744								
<b>U.S. PATENT DOCUMENTS</b>												
<b>EXAMINER INITIAL</b>	<b>DOCUMENT NUMBER</b>							<b>DATE</b>	<b>NAME</b>	<b>CLA SS</b>	<b>SUB CLA SS</b>	<b>FILING DATE IF APPROPR IATE</b>
	6	6	6	8	5	7	0	12/30/03	Wall et al.			
	6	4	0	8	6	3	7	06/25/02	Hanson et al.			
	6	3	3	8	2	5	5	01/15/02	Richard et al.			
	6	3	2	1	5	4	2	11/27/01	Ueno et al.			
	2001	0	0	2	5	4	96	10/04/01	Bimboes et al.			
	6	2	6	3	6	9	1	07/24/01	Ueno et al.			
	6	1	9	6	0	0	8	03/06/01	Fujitaka et al.			
	6	1	5	8	2	2	9	12/12/00	Aizawa			
	6	1	3	4	8	9	9	10/24/00	Brown et al.			
	5	3	9	2	6	1	0	02/28/95	Nelson et al.			
	5	3	7	9	6	0	5	01/10/95	Outlaw et al.			
	5	2	3	0	2	2	4	07/27/93	Ricketts et al.			
	5	1	8	6	0	1	7	02/16/93	Hancock et al.			
	4	9	8	1	0	2	0	01/01/91	Scuderi			
	4	8	8	7	4	3	5	12/19/89	Anderson, Jr.			
	3	9	1	3	3	4	8	10/21/75	Magester			
<b>FOREIGN PATENT DOCUMENTS</b>												
	<b>DOCUMENT NUMBER</b>							<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>Translation</b>
											<b>YES</b>	<b>NO</b>
<b>OTHER DOCUMENTS</b> (Including Author, Title, Date, Pertinent Pages, Etc.)												
<b>EXAMINER</b>								<b>DATE CONSIDERED</b>				
<b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.												